



# United States Nuclear Regulatory Commission Training Program

John L. Ricci, ScD, CHP Chief, Specialized Technical Training Branch Human Resources Training and Development

USNRC Technical Training Center
5746 Marlin Road, Suite 200
Chattanooga, Tennessee 37411 - United States
john.ricci@nrc.gov

ETRAP - 2009 11/11/09 - slide 1 of 43

# Information about the USNRC NUREG-1350



#### **TABLE OF CONTENTS**

NRC: An Independent Regulatory Agency

**U.S. and Worldwide Energy** 

**Nuclear Reactors** 

**Nuclear Materials** 

**Radioactive Waste** 

**Security and Emergency Preparedness** 

ETRAP - 2009 11/11/09 - slide 2 of 43

# Human Resources Training and Development

#### **Technical Training Curriculum**

- Reactor Technology Branch (R)
- Specialized Technical Training Branch
  - Engineering Support (E)
  - Fuel Cycle (F)
  - Regulatory Skills (G)
  - Health Physics (H)
  - Probabilistic Risk Assessment (P)
  - Security (S)

ETRAP - 2009 11/11/09 - slide 3 of 43

### **Which Presentation?**

**Competencies** 

or

Harmonization



ETRAP - 2009 11/11/09 - slide 4 of 43

# **Training Overview**

The mission of Human Resources Training and Development is:

To provide effective learning programs, resources, and services to enable the NRC to acquire and maintain the competencies needed to accomplish the Agency's mission.

To accomplish this mission we must answer the following six questions.

ETRAP - 2009 11/11/09 - slide 5 of 43

## **Job Task Analysis**

Do we know what knowledge and skills (competencies) the workforce needs in order to effectively execute its mission and strategic goals?

Job Task Analysis (JTA) needed if:

- No training program currently exists for a job
- A training program exists but may not be based on current and valid job requirements
- There is a need to improve performance

ETRAP - 2009 11/11/09 - slide 6 of 43

# Strategic Workforce Planning

Do we know what the gap is between the level of knowledge and competencies our workforce currently possesses and what it needs?

ETRAP - 2009 11/11/09 - slide 7 of 43

# Strategic Workforce Planning

Completed	Category	Date of Last Update	<u>Status</u>
Ø	Nuclear Reactors (SC)	Tuesday, June 2, 2009	Current
<b>™</b>	Nuclear Fuel Cycle	Tuesday, June 2, 2009	Current
12	Nuclear Materials	Tuesday, June 2, 2009	Current
12	Nuclear Safeguards/Security	Tuesday, June 2, 2009	Current
12	Nuclear Regulation	Tuesday, June 2, 2009	Current
12	Nuclear Waste	Tuesday, June 2, 2009	Current
12	Yucca Mountain / High Level Waste	Tuesday, June 2, 2009	Current
Ø	Emergency Preparedness/Response (SC)	Tuesday, June 2, 2009	Current
Ø	Radiation Protection	Tuesday, June 2, 2009	Current
Ø	Construction	Tuesday, June 2, 2009	Current
Ø	Electrical Power/Power Distribution	Tuesday, June 2, 2009	Current
Ø	Risk Assessment	Tuesday, June 2, 2009	Current
Ø	Human Factors	Tuesday, June 2, 2009	Current
Ø	Budget and Finance	Tuesday, June 2, 2009	Current
Ø	Acquisition	Tuesday, June 2, 2009	Current
Ø	Human Resources/Training & Development (SC)	Tuesday, June 2, 2009	Current
Ø	Information Technology (SC)	Tuesday, June 2, 2009	Current
Ø	Information Management	Tuesday, June 2, 2009	Current
Ħ	Use of Office Productivity Software	Tuesday, June 2, 2009	Current
Ø	International	Tuesday, June 2, 2009	Current
₩	Other Skills and Knowledge Areas	Tuesday, June 2, 2009	Current

ETRAP - 2009 11/11/09 - slide 8 of 43

# **Strategic Workforce Planning**

Knowledge Areas, Skills & Abilities	45 Mei	THE STATE OF THE S	STILL SHIP	de Center	the Little	sty and
Code Use	0	0	0	0	0	•
Contamination/Decontamination Analysis	0	0	0	0	0	•
Dosimetry Assessment	0	0	0	0	0	•
Geochemistry	0	0	0	0	0	•
HP Dispersion	0	0	0	0	0	•
HP Instrumentation	0	0	0	0	0	•
Health Physics (HP)	O	0	0	0	0	•
Hydrology (Ground Water)/Hydrogeology	0	0	0	0	0	•
Internal Dosimetry	0	0	0	0	0	•
Meteorology & Atmospheric Transport	0	0	0	0	0	•
Occupational Radiation Safety	0	0	0	0	0	•
Public Exposure to Radiation	0	0	0	0	0	•
Public Radiation Safety	0	0	0	0	0	•
Radiation Physics	0	0	0	0	0	•
Radioactive Source Terms	0	0	0	0	0	•
Radiochemistry	0	0	0	0	0	•
Radiological Measurements	0	0	0	0	0	•
Radionuclide Release and Environmental Transport	0	0	0	0	0	•
Radiotoxicity	0	0	0	0	0	•
Shielding	0	0	0	0	0	•

ETRAP - 2009 11/11/09 - slide 9 of 43

# Needs Survey

#### Annual Training Needs Forecast Worksheet (June 2009)

COURSE NAME	Total Number of Staff Projected to Attend				
Radiation Protection	10/09-03/10	04/10-9/10	10/10-03/11		
Environmental Monitoring for Radioactivity (H-111)					
Air Sampling for Radioactive Materials (H-119)					
Multi-Agency Radiation Survey and Site Investigation Manual (MARSSIM) (H-121)					
Basic Health Physics Technology Course (H-122)					
Health Physics Technology (H-201)					
Diagnostic and Therapeutic Nuclear Medicine (H-304)					
Safety Aspects of Industrial Radiography (H-305)					
Transportation of Radioactive Materials (H-308)					
Teletherapy and Brachytherapy					
(H-313)					
Safety Aspects of Well Logging (H-314)					
Irradiator Technology (H-315)					
Health Physics Topical Review (H-401)					
RESRAD (H-410)					
RESRAD OFFSITE (H-411)					
Regulatory Skills					
Inspection Procedures (G-108)					
Licensing Practices and Procedures (G-109)					
Root Cause/Incident Investigation Workshop (G-205)					

Enclosure 1

# **Qualification Program**

Are our courses and other learning interventions directly aligned to the needed knowledge and competencies?

ETRAP - 2009 11/11/09 - slide 11 of 43

# Materials Qualification Program

IMC	1246	Formal Qualification Programs in the Nuclear Material Safety and Safeguards Program Area 01/05/01 01-002			
IMC	1246A	Training Activities	01/05/01	01-002	
IMC	1246A01	Training Requirements for Materials License Reviewer	01/05/01	01-002	
IMC	1246A02	Training Requirements for Materials Health Physics Inspector	01/05/01	01-002	
IMC	1246A03	Training Requirements for Fuel Cycle Safety Inspector	04/21/06	06-009	.doc
IMC	1246A04	Training Requirements for Fuel Cycle Safeguards Inspector Physical Security	01/05/01	01-002	
IMC	1246A05	Training Requirements for NMSS Headquarters Fuel Cycle Safeguards Inspector Material Control and Accounting	01/05/01	01-002	
IMC	1246A06 Att1	Spent Fuel Storage and Transportation Training Requirements for Project Manager and Technical Reviewer	09/24/08	08-027	.doc
IMC	1246A06 Att 2	Training Requirements For Spent Fuel Storage And Transportation Inspector	09/24/08	08-027	.doc
IMC	1246A07	Training Requirements for Fuel Cycle License Reviewer	01/05/01	01-002	
IMC	1246A08	Training Requirements for Division of Waste Management Inspectors and License Reviewers	01/05/01	01-002	
IMC	1246A09	Training Requirements for Decommissioning Inspectors	01/5/01	01-002	
IMC	1246A10	Training Requirements for Decommissioning Project Managers/Technical Reviewers	04/14/03	03-012	.doc
IMC	1246A11	Training Requirements for Materials Exempt Distribution License Reviewer	01/05/01	01-002	
IMC	1246A12	Training Requirements for Uranium Recovery Inspector	01/05/01	01-002	
IMC	1246A13	Training Requirements for Uranium Recovery License Reviewer	01/05/01	01-002	
IMC	1246A14	Training Activities for High-Level Waste Repository Inspector	05/09/06	06-011	.doc
IMC	1246A15	High-Level Waste Repository License Technical Reviewers	04/14/03	03-012	.doc
IMC	1246A16	Training Requirements for Sealed Source and Device Reviewers	02/11/04	04-006	.doc

ETRAP - 2009 11/11/09 - slide 12 of 43

# Reactor Qualification Program

IMC	1245	Qualification Program for Operating Reactor Programs	07/08/09	09-017	.doc
IMC	1245 Att 1	General Overview of the Inspector Training and Qualification Program	07/08/09	09-017	.doc
IMC	1245 Att 2	Inspector Competencies	10/31/06	06-032	.doc
IMC	1245 Att 3	General Orientation Topics	10/31/06	06-032	.doc
IMC	1245 App A	Basic-Level Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App B	General Proficiency-Level Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C1	Reactor Operations Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C2	Reactor Engineering Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C3	Health Physics Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C5	Research and Test Reactor Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C6	Emergency Preparedness Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C7	Fire Protection Inspector Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App C8	Vendor Inspector Technical Proficiency Training and Qualification Journal	01/10/08	08-001	.doc
IMC	1245 App C9	Senior Reactor Analyst Training and Qualification Program	07/08/09	09-017	.doc
IMC	1245 App C10	Operator Licensing (OL) Examiner Technical Proficiency Training and Qualification Journal	07/08/09	09-017	.doc
IMC	1245 App D1	Maintaining Qualifications	07/08/09	09-017	.doc
IMC	1245 App D2	Inservice Inspection Advanced-Level Training	07/08/09	09-017	.doc
IMC	1245 App D3	Fire Protection Advanced-Level Training	07/08/09	09-017	.doc

ETRAP - 2009 11/11/09 - slide 13 of 43

# **Training Methods**

Are we using the right (efficient and effective) modes of learning intervention delivery and are we capitalizing on the potential that technology offers?

**On-Site Lecture** 

Effective ?

VS

**On-Line Training** 

**Efficient ?** 

# **Training Evaluation**

Are our learning interventions of known effectiveness in closing competency gaps and in improving accomplishment of mission and strategic goals?

- reaction to the training (course evaluations)
- learning achieved (test results)
- impact on behaviour (application of the learning during work assignments)
- results (such as a measured increase in productivity or efficiency)

ETRAP - 2009 11/11/09 - slide 15 of 43

# **Training Evaluation**



Course Title:					
Location:			Date	s:	
Educational Background:  High School/GED Associate's Bachelor's Master's Doctorate	Specialty:  Engineerin Health Phy Admin I.T.	sics -	Work Experience: Years Total Years Nuclear Years NRC	Cc	est Reactor edical overnment
orovide some feedback. name at the bottom of th suggestions.					
	Excellent (5)	Good (4)	Satisfactory (3)	Marginal (2)	Unsatisfactory (
Overall Course Rating	720	642	134	22	3
Prior to the Course  What did you like best o	5.5	ul about this o	After Completion of course?		6.8 ns
What did you like least (	or find not so help	oful about this	s course?		

# COURSE RATING AND FEEDBACK FORM (continued) What subjects might be added or expanded? What subjects might be deleted or discussed in less detail? Will this course aid you in your assigned duties? YES NO N/A Briefly explain your If your response to the previous question was NO, what could be done to make this course more useful to you in your assigned duties? Additional comments related to Course Content, Administration, Facilities etc (comments concerning the instructors should be noted on the separate Instructor Feedback form):

Your Name (please print):

# **Instructor Evaluation**

**ETRAP - 2009** 

Instructor Evaluation Form					
Course Title:					
Location:			Da	ates:	
Instructions: To maintain and improve the quality and applicability of HRTD courses, we need you to provide some feedback. Your comments are important to us. Please rate the following. Please print your name at the bottom of this form to allow for follow-up discussion of significant issues or suggestions.  Instructors Name:					
	Excellent (5)	Good (4)	Satisfactory (3)	Marginal (2)	Unsatisfactory (1)
Demonstrated knowledge of the material		.,		.,,	
Clearly stated course objectives					
Presented material in a manner that was easily understood					
Asked questions to clarify and reinforce concepts					
Created an atmosphere that promoted interaction					
Helped participants relate material to their jobs					
Overall Presentation Skills					
Additional Comment	s or Suggestio	ons:			

11/11/09 - slide 17 of 43

Your Name (please print):

# **Student Information**

#### STUDENT INFORMATION SHEET



#### PLEASE PRINT THE FOLLOWING INFORMATION:

Location:			Dates:				
Your Name:	(Please print cle	arly)			<u></u>		
	# 1 × 1 × 1 × 1 × 1 × 1 × 1 × 1 × 1 × 1	monete.		8			
Prefix: □ Mr. □ Ms.	□ Mrs. □ I	Or. □ C	other (	)			
Job Title:							
Office Phone No:		_ E-Ma	il Address:				
Office Mailing Address: (Only for Non-NRC)							
		(No P.O. E	loxes please)				
Motel (where you are staying):							
Organization - Check only	ONE Boy wit	hin the D	octangla Ra	low.			
<u> </u>					*E. SHIBHOLD TARK	557050-4104	
NRC Headquarters: □ NRR	□ NRO	□ FSME	□ NMSS	□ RES	□ NSIR	□ Other (_	)
NRC Regions:			$\square$ IV				
□ Agreement State (		) □ No	n-Agreement	State (			_)
□ Federal Agency (please speci	fy which agency						)
□ International Visitor (please s							
□ Other (please specify							_)
Name of Immediate Superviso	or:						
Name of Division Director:							
Name of Division Director: Name of Division (e.g., DNMS							

#### STUDENT INFORMATION SHEET (continued)

#### NRC training completed (check all that apply):

#### ENGINEERING

□ E-110 Power Plant Engineering	□ E-111 Emergency Diesel Generators	□ E-112 Motor Operated Valves
□ E-113 Fire protection	□ E-114 Digital I&C	□ E-115 Med Voltage Circuit Breakers
□ E-116 Corrosion Control	□ E-117 Concrete Tech & Codes	□ E-118 Welding Tech & Codes
□ E-301 QA Programs	□ E-306 NDE Tech & Codes	□ E-901 Welding & NDE Overview

#### FUEL CYCLE

□ F-101S Criticality Safety	□ F-102S General HP Practices	□ F-201S Fuel Cycle Processes	
□ F-201 Fuel Cycle Processes	□ F-204S Uranium Enrichment	□ F-206S Fire Protection	□ F-210 MOX

#### HEALTH PHYSICS

□ H-100 Site Access Training	□ H-109 Applied Health Physics	☐ H-111 Environmental Monitoring
□ H-117 Introductory Health Physics	□ H-119 Air Sampling	□ H-121 MARSSIM
□ H-122 Basic Health Physics	□ H-201 Health Physics Technology	□ H-202 Radwaste Management
□ H-304 Nuclear Medicine	□ H-305 Industrial Radiography	□ H-308 Transportation of Rad Materials
□ H-313 Brachytherapy	□ H-314 Well Logging	□ H-315 Irradiator
□ H-401 Health Physics Topical Review	□ H-403 HLW HP	□ H-410 RESRAD

□ P-102 Probability & Statistics

#### REGULATORY SKILLS

□ P-101 Risk Informed Reg for Tech Staff

□ G-105 Conducting Inspections □ G-107 Examination Techniques □ G-109 Licensing Practices and Procedures □	G-104 Expectations for Inspectors G-106 Research and Test Reactor G-108 Inspection Procedures G-204 Root Cause Report Evaluation
□ G-205 Root Cause/Incident Investigation □	G-304 Inspecting for Performance

#### PRA

□ P-105 PRA Basics for Reg Applications	□ P-107 PRA for Technical Managers
□ P-108 Fire Safety SDP	□ P-111 PRA Technology and Reg Perspectives
□ P-200 System Modeling Techniques	□ P-201 SAPHIRE Basics
□ P-202 Advanced SAPHIRE	□ P-203 Human Reliability Assessments
□ P-204 External Events	□ P-300 Accident progression Analysis
□ P-301 Accident Consequences Analysis	□ P-302 Risk Assessment in Event Evaluation
□ P-400 Intro to Risk Assessment in NMSS	□ P-401 Intro to Risk Assessment in NMSS Overview
D.406 Human Polishility Assessment for MMSS	p. D. 501 Advanced Rick Assessment Tenics

#### SECURITY

□ S-101 Intro to Security Fundamentals	☐ S-118S Intro to Physical Security Systems
□ S-201 NRC Materials Control & Security Systems & Principles	□ S-301Security Fundamentals
□ S-501 Weapons & Tactics Fundamentals	□ S-402 Safeguards Technology Refresher
□ S-502 Explosives & Breaching Field Course	□ S-503 Advanced Intrusion Detection Systems
P 105 Peactor Technology for Security	

### **Evaluation of Students**

(Examinations)

Most NRC technical training courses have final examinations

70% is required for successful completion

Regulatory Skills courses do not have examinations, however, many have team activities followed by submission of an oral or written report



ETRAP - 2009 11/11/09 - slide 19 of 43

# **Knowledge Management**

Are we identifying, capturing, and making accessible the high-value and high-risk knowledge that already exists within our workforce?

ETRAP - 2009 11/11/09 - slide 20 of 43



τ anization Employee Resources Services News Information Resources Policy Security
Training Travel SharePoint

Knowledge Management (KM) Home

#### **Knowledge Management At The NRC**

KM Resources	Dashboard
KM Documents	KM Office Contacts
	Internal Sites
KM Calendar	External Sites
Knowledge Center Resources	Supervisor's Toolbox
	Strategic Workforce Planning
Enter the Knowledge Center	KM Glossary
	NRC Glossary of Nuclear Terms
	The NRC Rulemaker
	trocedures decr

portals.

M Office Contacts	0000	
nternal Sites	2009 Tuesday, 11/17/20	009
xternal Sites	GSA KM Portal	ILE
upervisor's Toolbox	Federal Highway's Community of Practice	forn
trategic Workforce Planning	Federal Govt's KM Site	t the
M Glossary	DHS KnowNet Site	1
RC Glossary of Nuclear Terms	DOL KM Software	nite
he NRC Rulemaker	OPM's KM Site	rain
procedures, desk	World Bank	act

IAEA. org

formation and making the t the right time.
nited to, databases, raining, interviews, actice, websites and

Knowledge management is a part of the strategic management of human capital, along with strategic workforce planning, recruitment, and training and development. HR is coordinating the NRC's efforts to implement knowledge management strategies. In this role, HR developed this website.



#### NRC KNOWLEDGE CENTER

Collaborate, Capture, and Share Knowledge to Build Organizational Memory

MRC's Knowledge Center

#### Request an Account



#### NRC's Knowledge Center

#### Browse

#### NRC's Knowledge Center

- NRC's KNOWLEDGE CENTER

  - CoPs Under Development
  - Knowledge Management
    CoP
- **■** CROSS-CUTTING TOPICS
- MATERIALS
- Academic and Research
   Material Uses
- 🗄 👪 Decommissioning
- Experience...
- Exempt Consumer
  Product Uses
- GENERAL LICENSE TRACKING
  SYSTEM (GLTS)
- Guidance Documents
- Materials Incident
  Response
- Medical Uses of
   Radioactive Materials
- National Materials
   Program
- Nuclear Materials
   Management and...
- Packaging & Storing
   Radioactive Material
- Part 70 Overview

#### Welcome

People

#### Welcome

Welcome to the NRC Knowledge Center. To see the variety of communities and topics that are available, please enter the NRC Knowledge Center by clicking on the "NRC Knowledge Center" Community icon in the Explorer box to the left... Welcome! Information Security and Records Reminder - This page is for internal use only. All contributors to the contents on this site must be aware of the record value of the Web content and that it must be managed as record material in accordance with agency policy and NARA records management regulations. In addition, the content is subject to search under the Freedom of Information Act (FOIA) as well as litigation discovery requests. Posting of sensitive unclassified non-safeguards information (SUNSI) is prohibited unless appropriate access controls are applied, since access to SUNSI is on a need-to-know basis. Posting of personally identifiable information (PII) is always prohibited. SUNSI guidance can be found at <a href="http://www.internal.nrc.gov/sunsi/">http://www.internal.nrc.gov/sunsi/</a>.

If you are a new user and would like information on requesting an account, see the " New Account and Membership on the NKC" guidance in ADAMS at ML090930410.

#### **Our Communities**

- Advanced Reactors ▼
- Ground-Water & Performance Monitoring •
- ₩ HUMAN FACTORS -
- W NSPDP (RES) ▼
- ₩ Part 70 Overview •

Show All ..

- Electrical Systems •
- ₩ HTGRs ▼
- Material Engineering •
- W Nuclear Fuels ▼
- W Thermal-Hydraulics ▼

### Conclusion

We are struggling to make our training effective and efficient. We have much to learn and much to do.

We would like to learn from the experiences of others and we are also willing to share our experiences.

An effective training program can help us to avoid this



ETRAP - 2009

# Interlude



ETRAP - 2009 11/11/09 - slide 24 of 43

# Map of USA



ETRAP - 2009 11/11/09 - slide 25 of 43

# **Atomic Energy Act of 1954**

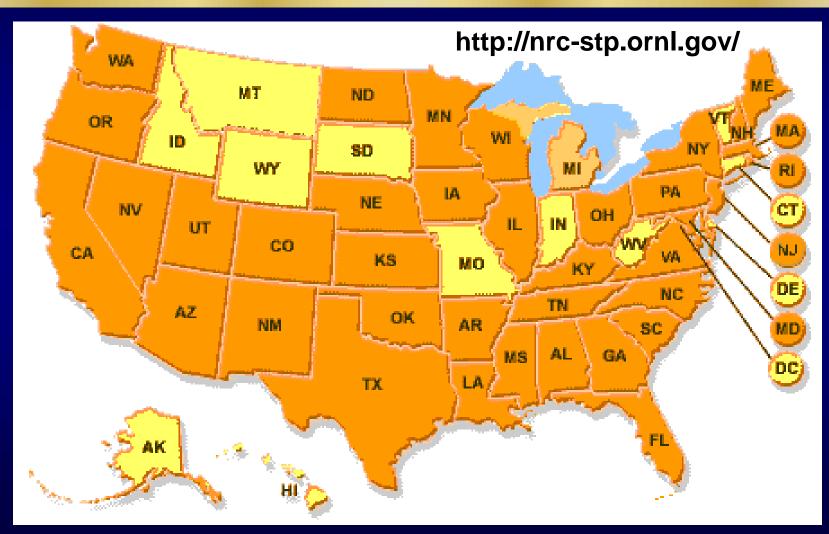
NRC Regulates Radioactive Material \*

NRC may enter into agreements with the States to transfer authority to them for regulating radioactive material

\* EPA, DOT, FDA, OSHA, DOE etc

ETRAP - 2009 11/11/09 - slide 26 of 43

# **37 Agreement States**



ETRAP - 2009

11/11/09 - slide 27 of 43

# Office of Federal and State Materials and Environmental Management Programs (FSME)

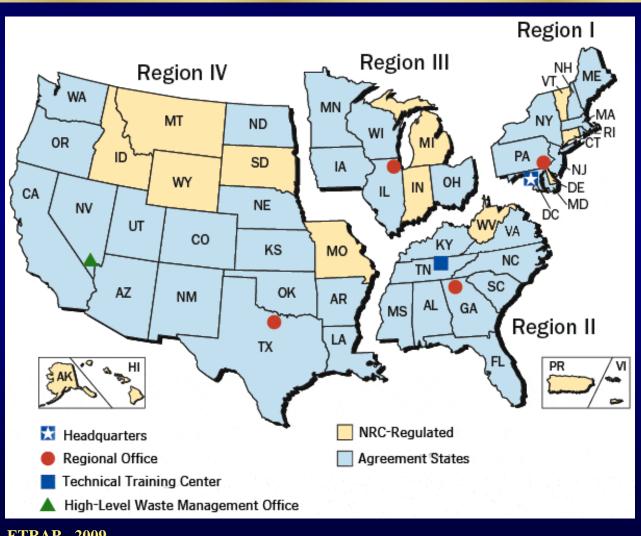
Responsible for establishing and maintaining effective communications and working relationship between the NRC and States, local government, other Federal agencies and Native American Tribal Governments.

Serves as the primary contact for policy matters between NRC and these external groups. Keeps the external groups informed on NRC activities.

Keeps the Agency appraised of these groups' activities as they may affect NRC and conveys to NRC management these groups' views toward NRC policies, plans, and activities.

ETRAP - 2009

# The NRC Regions



In Each Region

State Agreements Officer

> State Liaison Officer

ETRAP - 2009 11/11/09 - slide 29 of 43

### **Harmonization**

The NRC will determine which of its regulations and program elements should be adopted by an Agreement State to maintain a compatible program.

In addition, an Agreement State should have legally binding requirements to maintain adequate protection of public health and safety.

MD 5.9 describes the criteria and process NRC staff should follow to determine which NRC regulations and program elements should be adopted by an Agreement State for compatibility as well as for health and safety.

ETRAP - 2009 11/11/09 - slide 30 of 43

# Compatibility Categories and Health and Safety Identification

A - Basic radiation protection standard or related definitions, signs, labels or terms necessary for a common understanding of radiation protection principles. The State program element should be essentially identical to that of NRC;

B - Program element with significant direct transboundary implications. The State program element should be essentially identical to that of NRC;

ETRAP - 2009 11/11/09 - slide 31 of 43

# Compatibility Categories and Health and Safety Identification

C - Program element, the essential objectives of which should be adopted by the State to avoid conflicts, duplications or gaps. The manner in which the essential objectives are addressed need not be the same as NRC, provided the essential objectives are met;

D - Not required for purposes of compatibility;

NRC - These are NRC program elements that address areas of regulation that cannot be relinquished to Agreement States pursuant to the Atomic Energy Act or provisions of 10 CFR regulations.

ETRAP - 2009 11/11/09 - slide 32 of 43

# Compatibility Categories and Health and Safety Identification

H&S - Program elements identified as H&S are not required for purposes of compatibility; however, they do have particular health and safety significance. The State should adopt the essential objectives of such program elements in order to maintain an adequate program.

ETRAP - 2009 11/11/09 - slide 33 of 43

PROGRAM ELEMENT	REQUIRED FOR	COMMENTS
PROGRAMI ELEMENT	REQUIRED FOR	COMINIENTS
Legislation and Legal Authority	Adequacy	See discussion in Adequacy Section of Policy Statement
Regulations	Compatibility or Health and Safety	See Regulation Tables for 10 CFR Parts on the FSME website at: <a href="http://nrc-stp.ornl.gov/regsumsheets_newregs.html">http://nrc-stp.ornl.gov/regsumsheets_newregs.html</a> .
Guidance documents and interpretations	D	
Licensing	Adequacy	See discussion in Adequacy Section of Policy Statement
Reciprocal recognition of licenses	С	This program element has significant effects on the regulation of agreement materials on a national basis. However, States should be provided flexibility for the type of license and time period recognized under reciprocity. Although there are transboundary implications, there is not a necessity for all States to be identical, such as would be required by a classification of "B."
Written procedures	С	
Maintenance of records, especially for decommissioning	С	
Inspection and licensing files	С	
Inspection and Enforcement	Adequacy	See discussion in Adequacy Section of Policy Statement
Written procedures	С	

		-
PROGRAM ELEMENT	REQUIRED FOR	COMMENTS
Radiological laboratory support	D	
Instrumentation	D	
Personnel	Adequacy	See discussion in Adequacy Section of Policy Statement
Qualification procedures	С	There should be minimum education and experience requirements for all technical personnel in RCPs nationwide. Flexibility is provided to allow for different State administrative requirements.
Response to Events and Allegations	Adequacy	See discussion in Adequacy Section of Policy Statement
Written procedures	С	
Major incident investigation procedures	С	Need to prevent gaps in reporting effectiveness of national program
Procedures for investigation of "wrongdoing"	С	
Sealed source and device program	Adequacy	Non-common performance indicator
Standard review plan	С	
Format and content of registration certificates	В	Need to have national consistency so that all RCPs can rely on the specific information included in these documents.
Inclusion of Information in the National SS&D registry	В	Need to have national consistency so that all RCPs can rely on the specific information included in these documents
Written procedures	С	

PROGRAM ELEMENT	REQUIRED FOR	COMMENTS
Low level waste	Adequacy	Non-common performance indicator
Written procedures	С	
Uranium recovery	Adequacy	Non-common performance indicator
Written procedures	С	
Exchange of information	С	Necessary for effective regulation of agreement materials on a national basis; necessary for effective review of NRC and Agreement State programs for agreement material with respect to protection of public health and safety.
Event reporting	С	See previous comment. In addition, Agreement State event reporting to NRC is mandatory as directed by the Commission in a Staff Requirements Memorandum dated June 30, 1997. Failure to comply with this provision can serve as a basis alone for a finding of "not compatible."
Legal assistance	D	
Technical advisory committees	D	
Technical assistance and support	D	
Program funding, including program support services	D	
Organization, management & location of radiation control program	D	

### **Performance Indicators**

**Technical staffing and training** 

Status of materials inspection program

**Technical quality of inspections** 

**Technical quality of licensing actions** 

Technical quality of incident and allegation activities

ETRAP - 2009 11/11/09 - slide 37 of 43

### **Non-Common Performance Indicators**

#### **Compatibility Requirements**

Objective - to ensure that an Agreement State program does not create conflicts, duplications, gaps, or other conditions that jeopardize an orderly pattern in the regulation of radioactive materials under the Atomic Energy Act

Sealed Source and Device (SS&D) Evaluation Program

**Low-Level Radioactive Waste (LLRW) Disposal Program** 

**Uranium Recovery Program** 

ETRAP - 2009 11/11/09 - slide 38 of 43

# Integrated Materials Performance Evaluation Program (IMPEP)

**Audits of the Agreement States and the NRC Regions** 

Teams are composed of both Agreement State and NRC Region Staff

**IMPEP** reviews conducted every 4 years

#### **Findings:**

- Adequate
- Adequate, but Needs Improvement
- Inadequate
- Compatible or Not Compatible

ETRAP - 2009

# Reciprocity

Some licensees may cross jurisdictions (NRC - State)

A reciprocity agreement permits this (form 241 required)

**Prior notification = opportunity to inspect** 

If more than 180 days, need a separate license

ETRAP - 2009 11/11/09 - slide 40 of 43

### OAS

### **OAS – Organization of Agreement States**

The OAS is a progressive professional society whose members and activities constitute an invaluable resource to the regulation of radioactive materials and radiation safety across the nation.

Together, through committed, collaborative partnerships with state and federal agencies and other professional organizations, we will:

- Improve regulation of radioactive material;
- create a unified culture that values its members' participation and opinions; and
- ensure that the OAS stands strong in representing its members who regulate the majority of radioactive material facilities within the United States.

**ETRAP - 2009** 

### **CRCPD**

# CRCPD – Conference of Radiation Control Program Directors

Mission is "to promote consistency in addressing and resolving radiation protection issues, to encourage high standards of quality in radiation protection programs, and to provide leadership in radiation safety and education."

Encourage and promote cooperative enforcement programs with Federal agencies and between each State;

**Encourage the interchange of experience among radiation control programs;** 

ETRAP - 2009 11/11/09 - slide 42 of 43

# The End



ETRAP - 2009